

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KAGI, J.
SERIAL NO.: 10/030,065
FILING DATE: 01/03/2002
FOR: RING TRAVELER AND METHOD FOR PRODUCING A RING
TRAVELER

ATTENTION: BOX PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF DOCUMENTS

Sir:

This is in reference to the "Notification of Missing Requirements Under 35 U.S.C. 371" dated March 19, 2002. Applicant filed with the original application on January 3, 2002 an unsigned and defective Declaration and Power of Attorney and an Initial Information Data Sheet as well as the fee of \$130.00 for late filing of the Oath/Declaration. In accordance with 37 C.F.R. §1.497(a), (b), and (f), Applicant is timely submitting herewith the signed "Declaration." Applicant is also enclosing a signed Power of Attorney, a copy of the Notification of Missing Requirements and a Petition for Extension of Time Under 37 CFR 1.136(a) with a Fee Transmittal sheet and appropriate fee.

Also included in response to the Notification of Missing Requirements is a Preliminary Amendment along with the English translation of the published PCT application WO/01/83864.

The above mentioned documents are to be filed in the United States Designated/Elected Office (DO/EO/US) in connection with the above-referenced patent application.

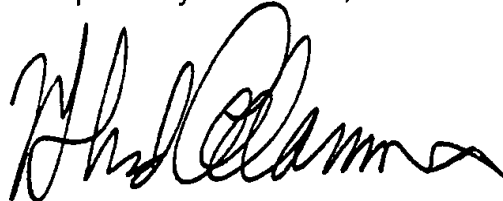
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231 on 06/19/2002.

Cecilia M. Sidebottom 6/19/2002
Cecilia M. Sidebottom Date of Signature

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SERIAL NO.: 10/030,065
Page 2

If there are any additional fees due in connection with this matter, please charge
Applicant's Deposit Account No. 01-0265.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'W. Thad Adams, III', written in a cursive style.

W. Thad Adams, III
Reg. No. 29,037

W. Thad Adams, III
ADAMS, SCHWARTZ & EVANS, P.A.
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Our File No. 1644/7

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 110.00

Complete if Known

Application Number 10/030,065
Filing Date 01/03/2002
First Named Inventor KAGI
Examiner Name
Group Art Unit
Attorney Docket No. 1644/7

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 01-0265
Deposit Account Name Adams, Schwartz & Evans, P.A.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	110.00
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00

SUBMITTED BY

Name (Print/Type) W. Thad Adams, III
Signature

Registration No. (Attorney/Agent) 29,037

Complete (if applicable)

Telephone 704-375-9249
Date 06/19/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

COPY

U.S. APPLICATION NUMBER NO. 10/030,065	FIRST NAMED APPLICANT Jorg KAGI	ATTY. DOCKET NO. 1647/7
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INTERNATIONAL APPLICATION NO. PCT/CH01/00183	
IA. FILING DATE 03/26/2001	PRIORITY DATE 05/03/2000

W. Thad Adams, III
 Adams Schwartz & Evans
 2180 Two First Union Center
 Charlotte, NC 28282

RECEIVED
 MAR 29 2002

CONFIRMATION NO. 9286

371 FORMALITIES LETTER

ADAMS, SCHWARTZ & EVANS, P.A.



Date Mailed: 03/19/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below, in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below.
 - The number of claims in the International Application and the number of claims in the translation are not the same.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

5-19-02 - 1) Respond to Notification of Missing Requirements
 2) Docket Extensions of Time?

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,065	PCT/CH01/00183	1647/7

FORM PCT/DO/EO/905 (371 Formalities Notice)